



## Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule – 2020

Training: Q2 2020



- IA CPD<sup>1</sup>



- HKIB CPD<sup>2</sup>



- SFC CPT



- WAM Programme<sup>3</sup>



- FLEX Learning

Module Name	Module No.	Code	Date	Time	Language (Supplemented with English materials)	Application Deadline <sup>4</sup>	Fee <sup>5</sup> (HKD)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	HQRM001PP200505 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	9, 16, 23 May 2020 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 9 & 16 May 10:00 – 13:00 on 23 May	Cantonese	20 Apr 2020 (Mon)	3,900
Investment Planning (Credit: 20)	2	HQRM002PP200504 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	7, 14, 21, 28 May 2020 (Thu) 3 Jun 2020 (Wed)	19:00 – 22:00		16 Apr 2020 (Thu)	3,900
Essentials of Banking (Credit: 20)	3	HQRM003PP200401 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	15 Apr 2020 (Wed) 20, 27 Apr 2020 (Mon)	09:00 – 12:00; 14:00 – 17:00 on 15 & 20 Apr 14:00 – 17:00 on 27 Apr		16 Mar 2020 (Mon)	3,900
		HQRM003PP200501 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	4, 11, 18, 25 May 2020 (Mon); 1 Jun 2020 (Mon)	19:00 – 22:00		14 Apr 2020 (Tue)	3,900
Insurance and Retirement Planning (Credit: 20)	4	HQRM004PP200503 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	7, 14, 21 May 2020 (Thu) 29 May 2020 (Fri) 4 Jun 2020 (Thu)	19:00 – 22:00		16 Apr 2020 (Thu)	3,900
Investment and Asset Management: Product Solutions (Credit: 20)	5	HQRM005PP200502 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	5, 12, 19, 26 May 2020 (Tue); 2 Jun 2020 (Tue)	19:00 – 22:00		14 Apr 2020 (Tue)	3,900
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	HQRM006PP200506 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	20, 27 May 2020 (Wed); 4 Jun 2020 (Thu); 10, 17 Jun 2020 (Wed)	19:00 – 22:00		29 Apr 2020 (Wed)	3,900
Financial Planning and Wealth Management (Credit: 20)	7	HQRM007PP200507 2020 version! <sup>6</sup> <b>FLEX Learning – Virtual Classroom<sup>3</sup></b>	22, 29 May 2020 (Fri); 5, 12, 19 Jun 2020 (Fri)	19:00 – 22:00		4 May 2020 (Mon)	3,900

**Examination: Q1 – Q4 2020**

Module Name	Module No.	Code	Date	Time	Application Deadline <sup>4</sup>	Fee <sup>5</sup> (HK\$)
<b>Regulatory Environment for Banking and Financial Planning (Credit: 20)</b>	1	<b>EXAM-2020-00059</b> 2020 version! <sup>6</sup>	19 Nov 2020 (Thu)	19:00 – 21:30	19 Oct 2020 (Mon)	1,060
<b>Investment Planning (Credit: 20)</b>	2	<b>EXAM-2020-00060</b> 2020 version! <sup>6</sup>	20 Nov 2020 (Fri)	19:00 – 21:30	20 Oct 2020 (Tue)	1,060
<b>Essentials of Banking (Credit: 20)</b>	3	<b>EXAM-2019-00372</b>	21 Apr 2020 (Tue)	19:00 – 21:30	23 Mar 2020 (Mon)	1,060
		<b>EXAM-2019-00373</b>	14 May 2020 (Thu)	19:00 – 21:30	14 Apr 2020 (Tue)	1,060
		<b>EXAM-2020-00053</b> 2020 version! <sup>6</sup>	6 Jun 2020 (Sat)	14:30 – 17:00	7 May 2020 (Thu)	1,060
		<b>EXAM-2020-00054</b> 2020 version! <sup>6</sup>	16 Jul 2020 (Thu)	19:00 – 21:30	16 Jun 2020 (Tue)	1,060
		<b>EXAM-2020-00055</b> 2020 version! <sup>6</sup>	20 Aug 2020 (Thu)	19:00 – 21:30	20 Jul 2020 (Mon)	1,060
<b>Insurance and Retirement Planning (Credit: 20)</b>	4	<b>EXAM-2020-00061</b> 2020 version! <sup>6</sup>	20 Nov 2020 (Fri)	19:00 – 21:30	21 Oct 2020 (Wed)	1,060
<b>Investment and Asset Management: Product Solutions (Credit: 20)</b>	5	<b>EXAM-2019-00305</b>	29 Apr 2020 (Wed)	19:00 – 21:30	30 Mar 2020 (Mon)	1,060
		<b>EXAM-2019-00363</b>	21 May 2020 (Thu)	19:00 – 21:30	17 Apr 2020 (Fri)	1,060
		<b>EXAM-2020-00056</b> 2020 version! <sup>6</sup>	6 Jun 2020 (Sat)	17:30 – 20:00	7 May 2020 (Thu)	1,060
		<b>EXAM-2020-00057</b> 2020 version! <sup>6</sup>	23 Jul (2020) (Thu)	19:00 – 21:30	23 Jun 2020 (Tue)	1,060
		<b>EXAM-2020-00058</b> 2020 version! <sup>6</sup>	11 Aug 2020 (Tue)	19:00 – 21:30	13 Jul 2020 (Mon)	1,060
<b>Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)</b>	6	<b>EXAM-2020-00049</b> 2020 version! <sup>6</sup>	6 Jun 2020 (Sat)	TBC	8 May 2020 (Fri)	1,060
		<b>EXAM-2020-00050</b> 2020 version! <sup>6</sup>	7 Jul (2020) (Tue)	19:00 – 21:30	8 Jun 2020 (Mon)	1,060
		<b>EXAM-2020-00051</b> 2020 version! <sup>6</sup>	4 Aug 2020 (Tue)	19:00 – 21:30	6 Jul 2020 (Mon)	1,060
		<b>EXAM-2020-00052</b> 2020 version! <sup>6</sup>	7 Sep 2020 (Mon)	19:00 – 21:30	7 Aug 2020 (Fri)	1,060
<b>Financial Planning and Wealth Management (Credit: 20)</b>	7	(Multiple Choice) <b>EXAM-2019-00310</b>	15 May 2020 (Fri)	20:45 - 22:00	15 Apr 2020 (Wed)	1,060 <sup>8</sup>
		(Case & Oral) <sup>7</sup> <b>EXAM-2019-00311</b>	17 May 2020 (Sun)	TBC	17 Apr 2020 (Fri)	

**Special Note:**

- According to the accreditation of HKCAAVQ on "Professional Certificate for ECF on Retail Wealth Management (RWM), an average learner is expected to spend 3 to 4 months to complete the respective training and examination of individual modules.
- Learners are required to enrol in and complete the training before attempting the examination




**Programme Details:**

Venue: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C)  
(unless other venue specified in training class confirmation / examination attendance notice)

Enrolment: By Email at [application@hkib.org](mailto:application@hkib.org) or submit to our institute in person or by mail

**Note:**

1. IA CPD will be applicable to Module 3, 5, 6 & 7 only
2. HKIB CPD includes CPD for CB (Stage I), CB (Stage II), CB, CFMP, ARWP, CRWP, ACsP, ACRP, CCRP(CL) and CCRP(CPM) designation holders.
3.  The programme has been included in the list of reimbursable courses for WAM pilot programme. Please note that training conducted in virtual classroom will not be applicable to WAM programme reimbursement. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: [www.wamtalent.org.hk](http://www.wamtalent.org.hk).
4. A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
5. HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
6. The 2020 new training and examination version will be adopted starting from Mar 2020 and Jun 2020 respectively.
7. Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
8. The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HK\$1,060 respectively.
9. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

**For Enquiries:**

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